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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/609,915	07/03/2000	Peter S. Linsley	30436.30US12

Mandel & Adriano  
35 N Arroyo Parkway  
Suite 60  
Paradena, CA 91103



## FORMALITIES LETTER



\*OC000000005370208\*

Date Mailed: 09/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2001 HVUONG1 00000060 09609915

01 FC:105

130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Peter S. Linsley et al.  
**Serial No.:** 09/609,915  
**Filed:** July 3, 2000  
**Docket:** 30436.30US12  
**Title:** SOLUBLE CTLA4 MUTANT MOLECULES AND USES THEREOF

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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on February 28, 2001.

By: Renato Marco P. Domingo

Name: Renato Marco P. Domingo

35 N. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
February 28, 2001

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR §1.8.
- ☒ Communication in Response to Notice to File Missing Parts of Nonprovisional Application and Petition for Four-Month Extension of Time and Petition Under 37 C.F.R. §1.47(a)
- ☒ Copy of Notice to File Missing Parts of Application
- ☒ Exhibits 1-2 (Executed Combined Declaration and Power of Attorney and letter dated July 12, 2000 and January 30, 2001 to the inventors)
- ☒ A check in the amount of \$1650.00 to cover the filing fee
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**

35 No. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
(626)395-7801

By: Sarah B. Adriano

Name: Sarah B. Adriano

Reg. No.: 34,470

Initials: SBA

Customer No. 26941



DKT. 30436.30USI2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants :** Peter S. Linsley et al.

**Serial No :** 09/609,915

**Filed :** July 3, 2000

**For :** SOLUBLE CTLA4 MUTANT MOLECULES AND USES THEREOF

**RECEIVED**

**AUG 29 2001**

**OFFICE OF PETITIONS  
DEPUTY A/C PATENTS**

35 No. Arroyo Parkway  
Pasadena, California 91103  
February 28, 2001

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

SIR:

**COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION UNDER 37 C.F.R. §1.53 (b) AND  
PETITION FOR FOUR-MONTH EXTENSION OF TIME AND  
PETITION UNDER 37 C.F.R. §1.47(a)**

This Communication is submitted in response to a Notice to File Missing Parts of Application under 37 C.F.R. §1.53 (b) issued in connection with the above-identified application on September 1, 2000. In accordance with the Notice, the deadline for submitting the Declaration was November 1, 2000. Applicants hereby request a petition for three-month extension of time. The fee for three-month extension of time is \$1390.00 for large entity. Accordingly, this response is being timely filed.

03/07/2001 HVUONG1 00000060 09609915

02 FC:118 1390.00 OP

03/07/2001 HVUONG1 00000060 09609915

03 FC:122 130.00 OP

**RECEIVED**

**AUG 29 2001**

**OFFICE OF PETITIONS  
DEPUTY A/C PATENTS**

**Petition under 37 C.F.R. §1.47(a)**

In connection with the above-identified application, Applicants submit this Petition in accordance with 37 C.F.R. §1.47(a) and request that the Patent Office accept the enclosed Declarations of inventors Jurgen Bajorath, Robert J. Peach, Philip Wallace and Nitin Damle on behalf of themselves and nonsigning inventors Peter S. Linsley, Jeffrey A. Ledbetter and William Brady. The Declarations of Drs. Bajorath, Peach, Wallace and Damle are attached as Exhibit 1.

On July 12, 2000 and January 30, 2001, Applicants' undersigned attorney sent a letter to each of Drs. Peter Linsley, Jeffrey Ledbetter and William Brady enclosing a Combined Declaration and Power of Attorney to their post office addresses for their execution. Copies of the letters including enclosures are annexed herewith as Exhibit 2.

Drs. Linsley, Ledbetter and Brady have failed to sign the declaration that is necessary to respond to the Notice to File Missing Parts that was issued on September 1, 2000 in connection with the subject application. Drs. Peach, Bajorath, Wallace and Damle executed the Combined Declaration and Power of Attorney and, as mentioned above, their Combined Declarations and Powers of Attorney can be found in Exhibit 1.

The last known addresses for the nonsigning inventors are as follows:

Peter S. Linsley  
2430 9<sup>th</sup> Avenue West  
Seattle, Washington 98119

Jeffrey A. Ledbetter  
306 N.W. 113<sup>th</sup> Place  
Seattle, Washington 98117

William Brady  
618 219<sup>th</sup> Place S.W.  
Bothell, Washington 98021

Applicants submit the fee of \$130.00 in accordance with 37 C.F.R. §1.17(i). No other fee is due in connection with the filing of this Petition. However, applicants authorized the Patent Office to charge any additional fee to Deposit Account No. 50-0306.

**Response to Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b)**

Applicants hereby submit their Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53 (b) and in compliance with 37 C.F.R. §1.63 (attached as Exhibit 1). The Declaration refers to the application's above-identified serial number and filing date.

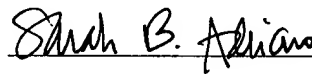
The surcharge for responding to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53 (d) is \$130.00. However, applicants authorized the Patent Office to charge any additional fee to Deposit Account No. 50-0306.

Peter S. Linsley et al.  
U.S. Serial No. 09/609,915  
Filed: July 3, 2000  
Page 4

**Fees**

No fee, other than the \$1,150.00 fee, is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,

A handwritten signature in cursive script, reading "Sarah B. Adriano", is written over a horizontal line.

Sarah B. Adriano  
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Stephanie Hsieh  
Registration No. 38,497  
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